

Title	Dosage Effects of Histamine-2 Receptor Antagonist on the Primary Prophylaxis of Non-Steroidal Anti-Inflammatory Drug (NSAID)-Associated Peptic Ulcers: A Retrospective Cohort Study
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Dosage effects of histamine-2 receptor antagonist on the primary prophylaxis of non-steroidal anti-inflammatory drug (NSAID)-associated peptic ulcers: a retrospective cohort study

Running title: Dosage effects of H2RA on prophylaxis of NSAID-associated PUs

Keywords: histamine-2 receptor antagonist (H2RA), non-steroidal anti-inflammatory drug (NSAID), peptic ulcer (PU)

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1 **Abstract**

2 *Background* Histamine-2-receptor antagonist (H2RA) is one of the common gastroprotective co-
3 therapies with non-steroidal anti-inflammatory drugs (NSAIDs) for the prevention or treatment of
4 peptic ulcers (PUs). To date, no study has directly compared the prophylactic effectiveness between
5 high-dose and low-dose H2RA.

6 *Objective* To compare the effectiveness of high-dose versus low-dose H2RAs in the primary
7 prophylaxis of PUs among short-term NSAID users.

8 *Methods* A retrospective cohort study was conducted using the Clinical Data Analysis and
9 Reporting System (CDARS) in Hong Kong. Patients aged 18 years or above who received a single
10 prescription of oral NSAID with oral H2RA were identified within the study period (1 January 2009
11 to 31 December 2012). Patients with a history or risk factors for PU in the corresponding two years
12 prior to the index date (of the first NSAID prescription) were excluded. Log binomial regression
13 analysis was used to calculate the relative risk of PU among NSAID users on high-dose-H2RA
14 versus low-dose-H2RA exposure.

15 *Results* Among the NSAID cohort (n=102 042), 77 509 (76%) were on low-dose-H2RA and 24 533
16 (24%) were on high-dose-H2RA. Of the total 69 PU cases identified during the drug exposure
17 period, 64 (0.08%) received low-dose-H2RA and 5 (0.02%) received high-dose-H2RA. The overall
18 absolute risk of PUs for NSAID users whilst on H2RA was approximately 1 per 1 479 patients. The
19 adjusted relative risk for NSAID users receiving high-dose-H2RA versus low-dose-H2RA was 0.32
20 (95% Confidence interval 0.13 to 0.79). Patients aged ≥ 65 years, on longer duration of treatment, or
21 concomitant use of antiplatelet agents were found to be at higher risk of PU.

22 *Conclusion* High-dose-H2RA showed greater effectiveness than low-dose-H2RA in the primary
23 prophylaxis of NSAID-associated PUs in short-term new-users.

24 **(Word count: 275)**

25 **Key Points:**

- 26 • The effectiveness of high-dose and low-dose histamine-2 receptor antagonists for the
27 prevention of peptic ulcers has not been directly compared.
- 28 • The absolute risk of peptic ulcer among non-steroidal anti-inflammatory drug new-users
29 with concurrent use of histamine-2 receptor antagonists was approximately 0.07%, and the
30 incidence rate was approximately 11.4 per 1000 patient-years.
- 31 • High-dose histamine-2 receptor antagonist showed greater effectiveness than its low-dose
32 form in the primary prophylaxis of NSAID-associated PUs in short-term new-users.

33 1. Introduction

34 Non-steroidal anti-inflammatory drugs (NSAIDs) are among the most commonly prescribed
35 treatment used for pain relief, fever and rheumatic disorders such as rheumatoid arthritis,
36 osteoarthritis, acute gout, and other inflammatory pain management [1-3]. However, as NSAIDs
37 inhibit the production of prostaglandins and increase gastric acid secretion [4], their potential to
38 cause peptic ulcers (PUs), including gastric and duodenal ulcers, remains a major concern [5]. A
39 previous study showed that the baseline incidence of hospitalisation with upper gastrointestinal
40 event in patients receiving NSAIDs was about 2% [6]. In addition, several risk factors for NSAID-
41 associated PUs are well-documented, including prior history of gastrointestinal events, aged 65
42 years or older, high dose NSAID, and concurrent use of corticosteroids, anticoagulants and
43 antiplatelet agents [7]. Gastroprotective agents (GPA) such as histamine-2-receptor antagonist
44 (H2RA), proton pump inhibitor (PPI) and misoprostol are commonly prescribed together with
45 NSAIDs for the treatment or prevention of PUs [8-12].

46 A Cochrane review reported that both standard-dose H2RA (ranitidine 300mg/day or famotidine
47 40mg/day) and high-dose H2RA (ranitidine 600mg/day or famotidine 80mg/day) were effective
48 compared with placebo in the prevention of NSAID-associated endoscopic PUs (i.e. peptic mucosal
49 lesion observed under endoscopy [13]). The relative risk (RR) for standard-dose H2RA was 0.63
50 (95% Confidence Interval 0.45 to 0.88) and 0.41 (95% CI 0.26 to 0.63) for high-dose H2RA. As the
51 95% CI overlapped in this indirect comparison, it is unclear whether high-dose H2RA is indeed
52 more effective.

53 We were unable to identify any published head-to-head study comparing high-dose versus standard-
54 dose H2RA, as all data were based on indirect comparisons. Therefore, it is difficult to draw
55 conclusions on the effectiveness of different doses of H2RAs in preventing NSAID-associated PUs.
56 Most of the clinical trials investigating NSAID-associated PU prophylaxis/treatment included
57 patients with a previous history of PU, i.e. secondary prophylaxis. For instance, all the patients

58 included in Wolde *et al*'s study had a history of ulcer [14]. Hudson *et al*'s study included 28% and
59 31% of patients with previous ulcers in the placebo and H2RA treatment group, respectively [15]. It
60 is still unclear how effective different doses of H2RA are in primary prophylaxis. Finally, it has
61 been argued that many endoscopic ulcers may, in fact, be asymptomatic with no clinical symptoms
62 [13,16, 17], which are different from clinical ulcers (i.e. symptomatic ulcers or ulcer complications).
63 In addition, Yeomans *et al* demonstrated the difficulty with using endoscopic PU as an outcome in
64 that a standard-dose H2RA (ranitidine 300mg/day) group was almost 3.5 times more likely to
65 develop endoscopic PU than the PPI group. However, Yeomans' study also reported no difference
66 between PPI and standard-dose H2RA in preventing clinical PUs [18]. These debates reveal a
67 "translational evidence gap" in the randomised control trial results and the clinical practice.
68 Therefore, investigating the effectiveness of different doses of H2RA in preventing NSAID-
69 associated PU in real-life practice becomes an important public health issue in places like Hong
70 Kong, where H2RAs are the main prophylactic treatment prescribed [19].

71 The objective of our study therefore was to investigate the absolute risk and incidence rate of
72 clinical PUs among NSAID users whilst on H2RA and, to compare the effect of high-dose versus
73 low-dose H2RA in the primary prophylaxis of NSAID-associated PUs in short-term users.

74 **2. Methods**

75 **2.1. Data sources**

76 In this study, we used the Clinical Data Analysis and Reporting System (CDARS), a database
77 developed by the Hong Kong Hospital Authority (HA). The HA is a statutory body which manages
78 all publicly-funded hospitals and their ambulatory clinics (primary and specialist out-patient) in
79 Hong Kong [20]. Prescriptions obtained from HA ambulatory clinics must be dispensed by HA
80 pharmacies because community pharmacies do not dispense HA prescriptions. As a publicly-funded
81 primary, secondary and tertiary healthcare provider, the HA's health service is available to all Hong
82 Kong residents (over 7 million people) [21].

83 In 1995, the HA developed Clinical Management System (CMS). The CMS is a computerised
84 clinical management system which allows clinicians to order, document and review patient care
85 through an electronic patient record. Patient data are recorded in CMS by trained clinicians, and
86 typically include basic demographics, diagnosis, payment method, prescriptions, laboratory tests,
87 admissions and discharge information, which are directly transferred to CDARS. Only trained
88 clinicians are able to prescribe through CMS, where the drug name, dose and frequency are stored.
89 Prescriptions are forwarded to the corresponding pharmacy department and verified by a registered
90 pharmacist who dispenses the drugs.

91 CDARS contains the records of all in-patients and out-patients attending HA clinics and hospitals,
92 including data transferred from the Accident and Emergency Information System, Medical Record
93 Abstract System, In-Patient Administration System, Pharmacy Management System/Corporate
94 Drug Dispensing History. Patient records are anonymised (name, Hong Kong identification card
95 number, address and telephone number are withheld) to maintain confidentiality. A reference
96 number is generated to facilitate data retrieval and further analysis. CDARS contains clinical data
97 from 42 public hospitals and institutions via seven geographic clusters in Hong Kong [22] and has
98 been used in several high quality epidemiological studies [23-26].

99 **2.2. Study Design**

100 This is a retrospective cohort study to investigate the dose effect of H2RA in NSAID users with
101 respect to the clinical outcome of PU.

102 **2.3. Patient identification**

103 An inception cohort of patients aged 18 years or above prescribed NSAIDs with H2RA issued by
104 the ambulatory clinic between 1 January 2009 and 31 December 2012 (study period) was retrieved
105 from the CDARS database. The NSAIDs and H2RAs included in the HA formulary are shown in
106 **Table 1.** We defined the date of the first NSAID prescription during the study period as the index

107 date. We specifically selected patients with only one prescription for consistency in the setting of
108 numerous clinical possibilities including treatment course definition of multiple NSAID
109 prescriptions and switching between NSAIDs.

110 **2.4. Exclusion criteria**

111 Patients with unknown date of birth, gender, prescription information, or with multiple or non-oral
112 NSAID prescriptions during the study period were excluded. To obtain a new-user cohort, those
113 who had received NSAIDs within the screening period (2 years prior to the index date) were
114 excluded. Further, patients with a previous diagnosis of PU or *Helicobacter pylori* (*H. pylori*)
115 infection, received triple therapy for *H.pylori* eradication (**Table 1**) or gastrointestinal endoscopy
116 procedure during the screening period were also excluded. The International Classification of
117 Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) codes used for identifying diseases
118 and procedures are listed in **Table 2**. The flowchart in **Figure 1** illustrates patient inclusion and
119 exclusion.

120 **2.5. Definitions of Exposure**

121 Based on the British National Formulary (63rd edition),[27] high-dose H2RA was defined as
122 double-dose or higher, and low-dose was defined as lower than double-dose (including standard-
123 dose) (**Table 3**). The drug exposure period was defined as the prescription period in which patients
124 were concurrently prescribed NSAID with H2RA. The observation was censored by the end of the
125 prescription, diagnosis of PU, prescription of another GPA (e.g. PPI, misoprostol), death or end of
126 study period (31 December 2012), whichever was earliest.

127 **2.6. Outcome**

128 The outcome of interest in this study was PU within the drug exposure period during 2009-2012.
129 PU diagnoses were identified from the primary diagnostic codes (ICD-9-CM 531, 532, 533 and 534)
130 (**Table 2**), including acute or chronic peptic ulcers with or without mention of haemorrhage or

perforation. Ninety-six percent of the PU cases were confirmed with GI endoscopy, GI surgery or related diagnostic procedures (**Table 2**). All PU cases were confirmed with a record of hospital admission. Only the first episode of PU was counted and observation was censored thereafter.

2.7. Covariates

The commonly reported risk factors for PU were considered in our study as covariates: age ≥ 65 years; concomitant use of corticosteroids, anticoagulants or antiplatelet agents (**Table 1**); NSAID types (ibuprofen, diclofenac, naproxen and others); NSAID doses (low, medium/high) and duration of NSAID exposure [6, 28]. Based on the British National Formulary (63rd edition) and existing literature [27, 29, 30], the dose of NSAID was categorised into low and medium/high dose (**Table 3**).

2.8. Statistical Analysis

The adjusted RR of PU in NSAID users receiving high-dose versus low-dose-H2RA and corresponding 95% confidence intervals were estimated using log-binomial regression. The effect of age, gender and other covariates mentioned previously were also analysed.

The crude absolute risks (AR) and incidence rates (IR) of experiencing PU in comparative groups and overall patients were calculated based on the following equations:

crude absolute risk (AR)

$$= \frac{\text{Number of patients diagnosed with PU within the observation period}}{\text{Total number of patients}}$$

$$\text{crude incidence rate (IR)} = \frac{\text{Number of new PU cases within the observation period}}{\text{patient years at risk within the observation period}}$$

The Wilson score interval was used to calculate the corresponding 95% confidence interval for the AR [31]. The 95% confidence interval of IR was calculated based on Rothman and Greenland's method [32].

150 The number needed to treat (NNT) was calculated to illustrate the observed effect size using the
151 equation $NNT = 1 / (\text{risk among low-dose-H2RA users with PU} - \text{risk among high-dose-H2RA users}$
152 $\text{with PU})$ [33].

153 **2.9. Sample size calculation**

154 Kelsey *et al*'s method was used to calculate the sample size required [34]. Assuming that the
155 background incidence of hospitalisation with PUs is approximately 2% [6], a minimum sample size
156 of 6 223 and 18 668 patients in each arm is required respectively, in order to detect a RR of 0.65
157 comparing high-dose versus low-dose-H2RA (the RR from Rostom *et al*) [17] with 80% power
158 (two-sided 95% CI).

159 **2.10. Sensitivity and subgroup analyses**

160 Three sensitivity analyses were performed to test the robustness of the study results. The first
161 analysis addressed issues around the delayed effect of drug exposure and development of PU, as
162 well as potential non-compliance scenarios by extending the follow-up period for 30 days. The
163 second analysis included any PU diagnosis as an outcome instead of restricting them to diagnosis
164 during hospitalisation, to assess whether the inclusion of out-patient diagnosis would affect the
165 conclusion. The final sensitivity analysis excluded any PU diagnosis without confirmation with GI
166 endoscopy, GI surgery or related diagnostic procedures.

167 Subgroup analysis was also performed to estimate the RR of high-dose versus low-dose H2RA in
168 three groups of patients separately; elderly patients (aged 65 or above), and patients with longer
169 treatment duration (30-60 days, or over 60 days).

170 Data analyses were performed using Statistical Analysis System (SAS) version 9.3 (SAS Inc.,
171 United States). A significance level of 5% was used in all statistical analyses.

172 **3. Results**

3.1. Patient characteristics

Between 2009 and 2012, a total of 102 042 patients with a single prescription of oral NSAID with co-prescription of H2RA met the inclusion criteria (**Figure 1**). Of these patients, 77 509 (76.0%) were on low-dose-H2RA (32 751, 42.3% male), and 24 533 (24.0%) were on high-dose-H2RA (10 463, 42.6% male).

Patient characteristics by exposure group of different doses of H2RA are detailed in **Table 4**. Over 99.9% of patients were prescribed famotidine in clinical practice in Hong Kong. More than 20% of patients were aged 65 years or older. Over 70% of patients were on medium or high dose NSAID in both treatment groups. In NSAID users receiving low-dose-H2RA, the most commonly prescribed oral NSAID were diclofenac, followed by naproxen and ibuprofen; while diclofenac, ibuprofen and naproxen were the most commonly prescribed NSAID in the high-dose-H2RA group. In both groups, less than 10% of patients were concurrently prescribed corticosteroids, anticoagulants or antiplatelet agents respectively. Over 80% of the NSAID prescriptions were of short duration (i.e. less than 1 month) in both treatment groups, with a mean duration of 23 and 18 days in low-dose-H2RA and high-dose-H2RA groups respectively.

3.2. Crude absolute risks and incidence rates of PU hospitalisation

The ARs and IRs of PU are shown in **Table 5**. A total of 69 PU cases were identified during drug exposure in the study cohort, in which 64 patients received low-dose-H2RA and 5 received high-dose-H2RA. The AR of PU whilst on low-dose-H2RA in NSAID users was 0.08% (0.06% to 0.11%), and the AR was 0.02% (0.01% to 0.05%) whilst on high-dose-H2RA. The overall AR of PU was 0.07% (0.05% to 0.09%), approximately 1 per 1 479 patients.

The IR of PU in NSAID users whilst on low-dose-H2RA was 13.3 per 1000 patient-years (10.4 to 17.0), whereas the IR was 4.1 per 1000 patient-years (1.7 to 9.9) whilst on high-dose-H2RA. The overall IR of PU in these NSAID users was 11.4 per 1000 patient-years (9.0 to 14.5).

3.3. Number needed to treat

The number needed to treat to prevent PUs among NSAID users in Hong Kong would be $1 / [(64/77509) - (5/24533)] = 1608$, if the estimated effect was seen in a randomised trial. We estimated that an average of 48 cases of PU could have been prevented if all patients were given high-dose H2RA during the study period.

3.4. Adjusted relative risk of PU hospitalisation

The adjusted RR of PU comparing high-dose-H2RA versus low-dose-H2RA in NSAID users was 0.32 (0.13 to 0.79), indicating the superior effectiveness of high-dose-H2RA in preventing NSAID-associated PUs in this study population (**Table 6**).

Patients aged 65 years or above showed a significantly higher risk of experiencing PU with a RR of 11.84 (6.34 to 22.14) compared to those under 65 years old. Moreover, the risk of PU was significantly higher in patients with longer treatment duration. Compared to short-term treatment (less than 1 month), the respective RR was 3.94 (2.06 to 7.55) for 30-60 days treatment and 4.76 (2.75 to 8.23) for treatment longer than 2 months.

Patients receiving concurrent antiplatelet agents showed a significantly higher risk of PU than those who did not, with a RR of 1.85 (1.08 to 3.17).

Our results also demonstrate that female and male patients receiving NSAID plus H2RA showed a similar risk of PU, with a RR of 0.69 (0.43 to 1.11). In addition, there was no significant difference in PU risk for patients receiving different doses or types of NSAID.

3.5. Sensitivity and Subgroup analyses

All sensitivity analyses yielded similar results to the main analysis (**Table 6**). In terms of subgroup analysis, there were 24117 patients aged 65 or above, 7469 patients with 30-60 days of treatment and 8469 patients with over 60 days of treatment. Subgroup analysis showed that among elderly

220 patients, high-dose-H2RA was able to significantly lower the PU risk compared to low-dose-H2RA,
221 with a RR of 0.36 (0.15 to 0.91) (**Supplementary Table 1**). High-dose-H2RA users of longer
222 duration (30-60 days or over 60 days) were less likely to experience PU than low-dose-H2RA users;
223 however, the results were not statistically significant.

224 **4. Discussion**

225 *4.1. Comparisons with other studies and implications of results*

226 Indirect comparison from the Cochrane meta-analysis shows that high-dose H2RAs are not
227 significantly more effective than low-dose H2RAs in the prophylaxis of endoscopic PUs [17]. To
228 our knowledge, our study was the first to demonstrate that the risk of clinical PU was significantly
229 lower among new NSAID users prescribed with high-dose compared to low-dose-H2RA. H2RAs
230 suppress both the basal and stimulated acid secretion by blocking histamine type-2 receptors on the
231 parietal cells, therefore serving as gastroprotective agents commonly used for prophylaxis or
232 treatment of NSAID associated PU. As an inverse agonist and competitive antagonist of histamine,
233 the dose-dependent effect of H2RAs may be the reason that high-dose H2RA has higher efficacy
234 for the prophylaxis of NSAID-associated PU [35-37].

235 Current guidelines recommend that for patients at high (e.g. prior PU or with more than two
236 gastrointestinal (GI) risk factors) or moderate risk (one to two GI risk factors) of PU, NSAID plus
237 misoprostol or PPIs should be used rather than H2RAs [28, 38, 39]. However, Ho *et al* reported that
238 of the NSAID users who developed ulcer bleeds while on GPA prophylaxis, approximately 80%
239 received H2RA rather than PPI in Hong Kong [19]. The choice of H2RA over PPI is likely to be
240 influenced by the fact that PPI costs up to 30 times more than H2RA in Hong Kong. A
241 pharmacoeconomics study conducted by Brown *et al* also concluded that the optimal strategy for
242 PU prophylaxis in NSAID-users depends on ‘willingness-to-pay’ and co-therapy with H2RAs is the
243 least costly strategy [40]. Another economic analysis even suggested H2RAs be co-prescribed to all
244 NSAID users for ulcer prophylaxis, especially among patients with low- to average-PU risk [11].

245 To date, H2RAs are much more commonly prescribed than PPIs in Hong Kong due to cost
246 constraints, whereas studies report that PPI prescriptions have overtaken that of H2RAs in NSAID
247 users in other countries such as Australia, Netherlands and Spain [41-43].

248 However, our results showed that among the NSAID users concurrently receiving H2RAs, 76%
249 received low-dose-H2RA as primary prophylaxis for PU compared to 24% of patients receiving
250 high-dose H2RA. This might be of concern for clinical practice in Hong Kong, since high-dose
251 H2RA should be preferred given the evidence of greater prophylactic effect compared to low-dose
252 [8]. Although the choice of H2RAs for PU prophylaxis among NSAID users is, to some extent,
253 reasonable in Hong Kong, high-dose-H2RA should be prescribed over low-dose-H2RA.

254 The overall AR of PU in users prescribed NSAID with H2RA was 69 per 102 042 patients (0.07%),
255 which is much lower than that reported in the literature [6, 44]. The most probable explanation for
256 this low absolute PU risk is due to the “new-user” and “new-patient” design of our study. Since
257 patients with prior PU, NSAID/GPA exposure, *H. pylori* infection or previous GI endoscopy
258 procedures at the screening period were excluded; it is not surprising that PU risks among these new
259 patients are much lower.

260 In line with previous studies, our results showed that patients aged 65 or above posed a significantly
261 higher risk of NSAID-associated PU with a RR of 11.84 (6.34 to 22.14). Further, longer NSAID
262 treatment duration led to an approximately 3-4 fold higher risk of PU. Subgroup analysis showed
263 the greater protective effect of high-dose compared to low-dose H2RAs in the elderly subgroup.
264 High-dose-H2RA users of longer duration (30-60 days or over 60 days) were also less likely to
265 experience PU than low-dose-H2RA users; however, the results did not reach significance possibly
266 due to the low number of patients with PU in the subgroup. Nevertheless, these findings highlight
267 the importance of an appropriate approach to PU prophylaxis in clinical practice among elderly
268 NSAID users. Shorter NSAID treatment duration is preferred and high-dose H2RAs should be used
269 for PU prophylaxis.

270 Previous studies and guidelines have stated that concurrent use of corticosteroids, anticoagulants or
271 antiplatelet agents are well-established risk factors for NSAID-associated GI events [28, 45-47].
272 Our results show that concomitant use of antiplatelet agents resulted in a higher risk of clinical PU
273 among NSAID users despite the dosage of H2RA. However, there was no significant difference in
274 PU risk between patients with and without concurrent treatment of corticosteroids or anticoagulants.
275 The study is not adequately powered to detect the difference possibly due to the scant number of PU
276 cases and small proportion of concomitant use of these drugs (less than 10% respectively) among
277 these new-users of NSAID plus H2RA.

278 MacDonald *et al* reported that patients receiving medium or high dose NSAID had a higher risk of
279 developing complicated GI events, with RRs of 1.41 (1.03 to 1.93) and 1.92 (1.18 to 3.14)
280 respectively. However, medium or high dose NSAIDs posed similar risks for overall GI events
281 compared to low dose NSAIDs, with RRs of 1.25 (0.98 to 1.58) and 1.39 (0.93 to 2.07) [6]. From
282 our findings, a slight tendency was also shown towards a non-significant higher risk of PU in
283 patients receiving medium/high dose NSAIDs, with a RR of 1.05 (0.37 to 2.94). In addition,
284 MacDonald *et al* showed that compared to ibuprofen, the RR of upper GI adverse event was 1.35
285 (0.69 to 2.62) among diclofenac users and 1.44 (0.92 to 2.45) among naproxen users [6]. Our results
286 also demonstrated that diclofenac and naproxen had a statistically non-significant higher PU risk
287 compared to ibuprofen.

288 ***4.2. Strengths and limitations of study***

289 To our knowledge, this is the first pharmacoepidemiological study comparing high-dose versus
290 low-dose H2RA in the prophylaxis of NSAID-associated PU. One major advantage of our study is
291 that the diagnosis of PU was identified by ICD-9-CM diagnostic codes as an outcome rather than
292 endoscopic PU commonly used in clinical trials. Therefore, our study adds significant knowledge
293 to the role of H2RA in the prophylaxis of NSAID-associated PU in real life practice. Further, we
294 chose the “new-user” [48] and “new-patient” study design, which focused on the primary

295 prophylaxis of PU in patients with no previous drug exposures or PU history. This allowed us to
296 specifically investigate new-users with low risk of PU, contributing important knowledge to guide
297 current practice. By applying the new-user design, as all subjects enter the study at the same time
298 with no previous drug exposures or outcomes, “survival bias” is avoided, providing a more
299 accurate estimation of risk [48].

300 Several limitations should be acknowledged. Similar to databases from clinical healthcare
301 management systems in Europe, such as the Clinical Practice Research Datalink (CPRD, previously
302 known as the General Practice Research Database, GPRD)[49], CDARS does not include over-the-
303 counter (OTC) medicines and data from private healthcare providers. This might have led to a
304 potential underestimate of NSAID or GPA use among the study population. However, as the Hong
305 Kong Hospital Authority provides territory-wide healthcare, which is available to all residents, the
306 impact of missing private or OTC prescriptions is likely to be minimal [50]. Similar to other
307 pharmacoepidemiological studies using databases, since we used the prescription record as a
308 reflection of drug exposure, non-adherence cannot be directly addressed. However, we addressed
309 this issue using sensitivity analysis and our conclusions are robust. There is a possibility that
310 patients who were “perceived” to be at higher PU risk might have been prescribed high-dose H2RA.
311 Therefore, our study might be biased against high-dose H2RA and underestimated its protective
312 effects. Finally, we focused on a group of short-term users who received a single prescription for
313 NSAID, thus our findings may not be generalised to other patients groups, such as those on long-
314 term NSAID treatment. Further investigation involving patients with multiple NSAID prescriptions
315 for long-term conditions/treatment using propensity score could be conducted to evaluate different
316 patient groups.

317 **5. Conclusion**

318 High-dose H2RA showed greater effectiveness compared to low-dose H2RA in the primary
319 prophylaxis of PU in short-term new-users of NSAIDs. The co-prescribing rate of low-dose H2RA

320 was 3-fold that of high-dose H2RA for the primary prophylaxis of NSAID-associated PUs in Hong
321 Kong, and such practice should be discouraged.

322 **Authorship**

323 Guarantor of the article: YH, EWC and ICKW.

324 YH, EWC and ICKW contributed to the conception, development and design of the study. YH
325 reviewed the literature. YH, KKCM and WCYL contributed to the analysis of data. YH, EWC,
326 KKCM, WCYL, WKL, LMH and ICKW contributed to the interpretation of data. YH drafted the
327 article. EWC, KKCM, WCYL, WKL, LMH and ICKW revised it critically for important
328 intellectual content. EWC and ICKW provided oversight over all aspects of this study. All authors
329 had full access to all of the data in the study and took responsibility for the integrity of the data and
330 the accuracy of data analysis. All authors provided final approval of the version to be published.

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336 ***Declaration of competing interest:*** Ying He, Esther W Chan, Kenneth KC Man, Wallis CY Lau,
337 Wai K Leung, Lai M Ho and Ian CK Wong declare: no support from any organisation for the
338 submitted work; no financial relationships with any organisation that might have an interest in the
339 submitted work in the previous three years; no other relationships or activities that could appear to
340 have influenced the submitted work.

341 ***Ethical approval:*** The study protocol was approved by Institutional Review Board of the University
342 of Hong Kong/Hospital Authority Hong Kong West Cluster (IRB reference number: UW 12-196).

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468 **Tables**

469 **Table 1. List of drugs included in this cohort study**

Drug classification	List of drugs
NSAID	Diclofenac, ibuprofen, indomethacin, mefenamic acid, naproxen, piroxicam, sulindac
H2RA	Ranitidine, famotidine, cimetidine
PPI	Pantoprazole, lansoprazole, esomeprazole, omeprazole, rabeprazole
Other GPA	Misoprostol, sucralfate, tripotassium dicitrato bismuthate, bismuth subcitrate, bismuth subnitrate, bismuth carbonate, bismuth + iodoform
Triple therapy	Pantoprazole/lansoprazole/esomeprazole/omeprazole/rabeprazole/ranitidine(bismuth) + amoxicillin + clarithromycin
Corticosteroid	Betamethasone, dexamethasone, fludrocortisone, hydrocortisone, methylprednisolone, prednisolone, triamcinolone acetonide
Anticoagulant	Enoxaparin, heparin, nadroparin, protamine sulphate, tinzaparin, warfarin, dabigatran
Antiplatelet agent	Aspirin, aspirin+glycine, dipyridamole, abciximab, clopidogrel, eptifibatide, prasugrel, aggrenox, ticlopidine

GPA gastroprotective agent, *H2RA* histamine-2 receptor antagonist, *NSAID* non-steroidal anti-inflammatory drug, *PPI* proton pump inhibitor

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Table 2. ICD-9-CM codes for peptic ulcers, gastrointestinal procedures, and *Helicobacter pylori* infection

ICD-9-CM codes for PUs	
	531 gastric ulcer (531.0-531.9)
	532 duodenal ulcer (532.0-532.9)
	533 peptic ulcer, site unspecified (533.0-533.9)
	534 gastrojejunal ulcer (534.0-534.9)
ICD-9-CM codes for gastrointestinal procedures	
	44.1 diagnostic procedures on stomach (44.11-44.19)
	45.1 diagnostic procedures on small intestine (45.11-45.19)
	44.4 control of haemorrhage and suture of ulcer of stomach or duodenum
	87.62 upper GI series
	88.01 computerized axial tomography of abdomen
	88.02 other abdomen tomography
ICD-9-CM codes for <i>H. Pylori</i> infection	
	041.86 <i>H. pylori</i>

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474

GI gastrointestinal, *ICD-9-CM* international classification of diseases, ninth revision, Clinical modification, *PU* peptic ulcer

475 **Table 3. Dose classification of NSAIDs and H2RAs**

H2RA	Low dose	High dose
ranitidine	<600	≥ 600
famotidine	<80	≥ 80
cimetidine	<1,600	≥ 1,600
NSAID	Medium/High dose	
diclofenac	<75	≥75
ibuprofen	<1,200	≥1,200
indomethacin	<75	≥75
mefenamic acid	<1,500	≥1,500
naproxen	<500	≥500
piroxicam	<10	≥10
sulindac	<300	≥300

476 Doses are presented in mg/day

477 *H2RA* histamine-2 receptor antagonist, *NSAID* non-steroidal anti-inflammatory drug

478 **Table 4. Patient characteristics by exposure classified according to histamine-2 receptor**
479 **antagonist dose**

		NSAID+low-dose-H2RA	NSAID+high-dose-H2RA
Total		77,509	24,533
H2RA type	Famotidine	77,484 (99.97)	24,532 (100)
	Ranitidine	25 (0.03)	1 (0)
Sex	Male	32,751 (42.3)	10,463 (42.6)
	Female	44,758 (57.7)	14,070 (57.4)
Age in years	Mean (SD)	54 (16.5)	52 (16.4)
Age category (years)	< 65	58,507 (75.5)	19,418 (79.2)
	≥ 65	19,002 (24.5)	5,115 (20.8)
NSAID dose	Low	20,845 (26.9)	7,105 (29.0)
	Medium or high	56,664 (73.1)	17,428 (71.0)
NSAID type	Ibuprofen	15,181 (19.6)	5,644 (23.0)
	Diclofenac	41,193 (53.1)	14,198 (57.9)
	Naproxen	15,941 (20.6)	3,240 (13.2)
	Others ^a	5,194 (6.7)	1,451 (5.9)
Concomitant drugs	Corticosteroid	2,617 (3.4)	716 (2.9)
	Anticoagulant	7,223 (9.3)	2,036 (8.3)
	Antiplatelet agent	5,568 (7.2)	1,395 (5.7)
Treatment duration (days)	Mean (SD)	23 (32.1)	18 (28.2)
Treatment duration category (days)	< 30	64,509 (83.2)	21,595 (88.0)
	30-60	6,072 (7.8)	1,397 (5.7)
	> 60	6,928 (8.9)	1,541 (6.3)

480 Data are presented as n (%) unless otherwise indicated

481 *H2RA* histamine-2 receptor antagonist, *NSAID* non-steroidal anti-inflammatory drug, *SD* standard deviation

482 ^a Others: indomethacin, mefenamic acid, piroxicam, and sulindac

483 **Table 5. Absolute risks and incident rates of peptic ulcer hospitalization in users of non-**
484 **steroidal anti-inflammatory drug + histamine-2 receptor antagonist**

	Low-dose-H2RA	High-dose-H2RA	Total
Number of patients	77,509	24,533	102,042
Number of incident PU cases	64	5	69
Absolute risk (% , 95%CI)	0.08 (0.06 – 0.11)	0.02 (0.01 – 0.05)	0.07 (0.05 – 0.09)
Total patient-years covered	4,819	1,214	6,034
Incidence rate per 1000 patient-years (95%CI)	13.3 (10.4 – 17.0)	4.1 (1.7 – 9.9)	11.4 (9.0 – 14.5)

485 *CI* confidence interval, *H2RA* histamine-2 receptor antagonist, *NSAID* non-steroidal anti-inflammatory drug, *PU* peptic
486 ulcer

487 **Table 6. Model details of the risk of peptic ulcer in users of non-steroidal anti-inflammatory**
488 **drug + histamine-2 receptor antagonist**

		Adjusted RR ^a (95% CI)	P-value
H2RA dose	Low	1.00	-
	High	0.32 (0.13 – 0.79)	0.014
H2RA dose (Sensitivity analysis 1^b)	Low	1.00	-
	High	0.50 (0.31 – 0.82)	0.006
H2RA dose (Sensitivity analysis 2^c)	Low	1.00	-
	High	0.31 (0.13 – 0.78)	0.013
H2RA dose (Sensitivity analysis 3^d)	Low	1.00	-
	High	0.33 (0.13 – 0.83)	0.019
Sex	Male	1.00	-
	Female	0.69 (0.43 – 1.11)	0.125
Age	< 65 years	1.00	-
	≥ 65 years	11.84 (6.34 – 22.14)	<.0001
NSAID dose	Low	1.00	-
	Medium or high	1.05 (0.37 – 2.94)	0.927
NSAID type	Ibuprofen	1.00	
	Diclofenac	3.41 (0.83 – 14.00)	0.088
	Naproxen	2.71 (0.60 – 12.25)	0.196
	Others ^e	2.60 (0.61 – 11.16)	0.199
Concomitant drugs	No	1.00	-
	Corticosteroid	1.41 (0.57 – 3.51)	0.460
	Anticoagulant	0.93 (0.43 – 2.04)	0.866
	Antiplatelet agent	1.85 (1.08 – 3.17)	0.026
Treatment duration category	< 30 days	1.00	
	30-60 days	3.94 (2.06 – 7.55)	<.0001
	> 60 days	4.76 (2.75 – 8.23)	<.0001

489 *CI* confidence interval, *GI* gastrointestinal, *H2RA* histamine-2 receptor antagonist, *NSAID* non-steroidal anti-
490 inflammatory drug, *PU* peptic ulcer, *RR* relative risk

491 ^a Estimates adjusted for age; sex; NSAID dose; NSAID type; concomitant use of corticosteroid, anticoagulant, or antiplatelet agent;
492 treatment

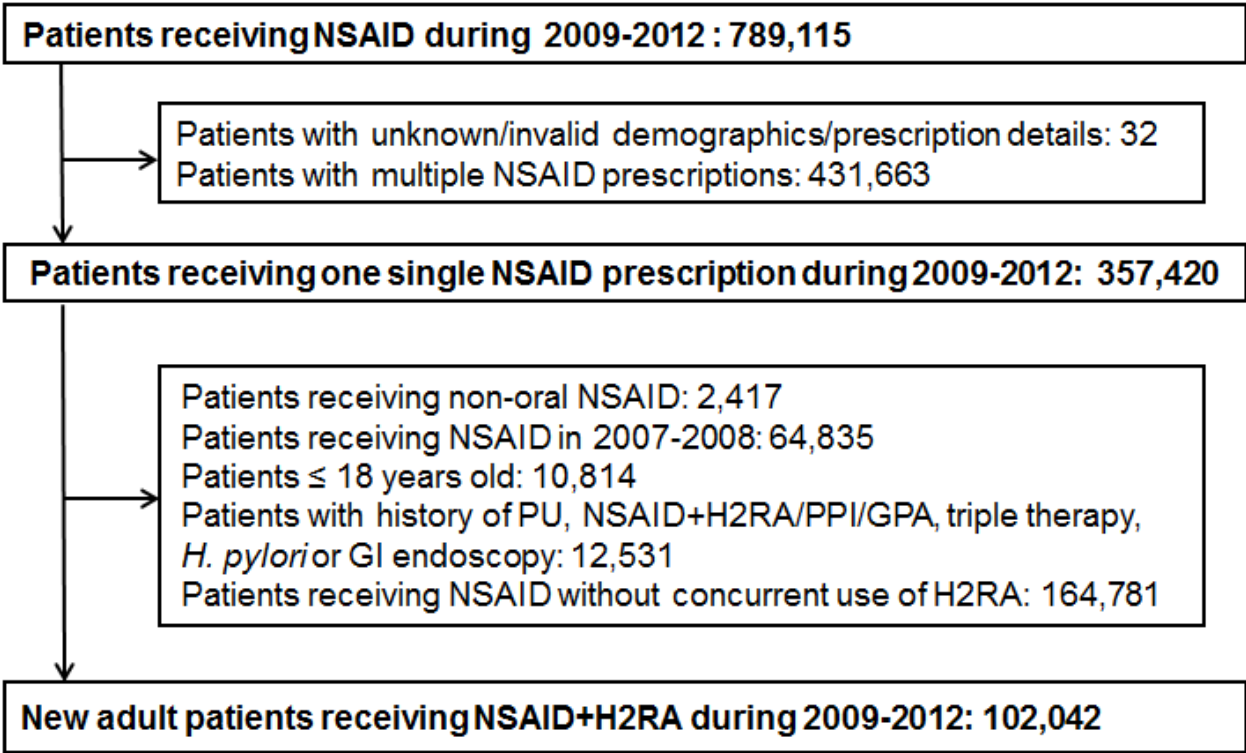
493 duration

494 ^b The follow-up period was extended for 30 days

495 ^c Any PU diagnosis was included as an outcome instead of restricting them to diagnosis during hospitalization

496 ^d Any PU diagnosis without confirmation with GI endoscopy, GI surgery, or related diagnostic procedures was excluded

497 ^e Others: indomethacin, mefenamic acid, piroxicam, and sulindac



499

500 **Figure 1. Illustration of patient inclusion/exclusion**

501 *GPA* gastroprotective agent, *H. Pylori* *Helicobacter pylori*, *H2RA* histamine-2 receptor antagonist, *NSAID* non-steroidal
502 anti-inflammatory drug, *PPI* proton pump inhibitor, *PU* peptic ulcer

503 **Supplementary Table 1. Subgroup analysis of the risk of peptic ulcer in users of non-steroidal**
504 **anti-inflammatory drug+ histamine-2 receptor antagonist**

Subgroups	PU case/Patient number		Unadjusted RR (95% CI) (High vs Low)	P-Value
	High-dose-H2RA	Low-dose-H2RA		
Age \geq 65 years	5 / 5,115	51 / 19,002	0.36 (0.15 - 0.91)	0.022
30-60 days treatment	1 / 1,397	12 / 6,072	0.36 (0.05 - 2.78)	0.484
> 60 days treatment	2 / 1,541	21 / 6,928	0.43 (0.10 - 1.82)	0.413

505 *CI* confidence interval, *H2RA* histamine-2 receptor antagonist, *NSAID* non-steroidal anti-inflammatory drugs, *PU* peptic
506 ulcer, *RR* relative risk